DOCKET NO.: 250964US0DIV/phh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RE APPLICATION OF:

Masahiro ITO, et al.

SERIAL NO: 10/808,274

GROUP:

FILED:

March 25, 2004

EXAMINER:

FOR:

PROCESS FOR PRODUCING A FLUORINE ATOM-CONTAINING

SULFONYL FLUORIDE COMPOUND

LETTER

Mail Stop DD Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is a People's Republic of China Office Action for the Examiner's consideration. The reference(s) cited therein have been previously filed on March 25, 2004.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Customer Number

22850

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 08/03)

Norman F. Oblon Attorney of Record Registration No. 24,618

Roland E. Martin Registration No. 48,082

THE PATENT OFFICE OF THE STATE INTELLECTUAL PROPERTY OFFICE OF THE PEOPLE'S REPUBLIC OF CHINA

Address: No 6 Xi Tucheng Lu, Jimeng Oiao Haidian District, Beijing Post code: 100088 PO BOX: Beijing 8020

Shanghai Patent & Trademark Law Office

Date of Dispatch	
March 5, 2004	

Application No.: 01813464.5	Applicant: ASAHI GLASS COMPANY, LIMITED
Application Date: July 26, 2001	Agent:
Title: 含フッ素アシルフルオリド	の制造方法および含ファ素ヒニルエーテルの制造方法

THE FIRST OFFICE ACTION (PCT APPLICATION IN THE NATIONAL PHASE)

1.	provision of Item 1, Article 35 of the Patent Law, the Examiner has proceeded with the Examination as to Substance on the above mentioned application for patent for invention.
•	According to Item 2, Article 35 of the Chinese Patent Law, the Patent Office has decided to examine the above application for patent for invention.
2.	The applicant has requested that the filling date of
	2000.07.28 at theIP_ Patent Office as the priority date,
	at the Patent Office as the priority date,
	at the Patent Office as the priority date,
3.	The following amended documents submitted by the applicant cannot be accepted for not conforming to the provision of Article 33 of the Patent Law:
	The Chinese version of the attachment of the International Preliminary Examination Report.
	The Chinese version of the amended document submitted according to the provision of Rule 19 of the Patent Cooperation Treaty.
	The amended document submitted according to the provision of Rule 28 or Rule 41 of the Patent Cooperation Treaty.
	The amended document submitted according to the provision of Rule 51 of the Implementing Regulations of the Patent Law.
	Refer to the text of the notice for the specific reason of non-acceptance thereof.
4.	The examination is conducted by directing at the Chinese version of the original International Application submitted.
	The examination is conducted by directing at the following application documents: Description,
	p, the Chinese version of the original International Application Document submitted;
	p, the Chinese version of the attachment of the International Preliminary Examination Report;
	p, the amended document submitted according to the provision of Rule 28 or Rule 41 of the Patent Cooperation Treaty.

		P, the amended document subm	itted according to the provision of Rule 51 of the
	٢	Implementing Regulations of the Paten Claims,	t Law,
		, the Chinese version of the original, the Chinese version of the amende Rule 19 of the Patent Cooperation Tre, the Chinese version of the attachme, the amended document submitted the Patent Cooperation Treaty, the amended document submitted Implementing Regulations of the Patent Attached Drawings, p, the Chinese version of the origina p, the Chinese version of the attach Report. p, the amended document submitted	nt of the International Preliminary Report. according to the provision of Rule 28 or Rule 41 of
		are a stelle Cooperation 1-featy.	
•		p, the amended document submittee	ed according to the provision of Rule 51 of the
		Implementing Regulations of the Pate	ent Law.
5.	This in the	Notice cites the following Comparison Docume subsequent examination proceedings):	nent(the number of which shall continue to be used
1. A	No.	Number/Title of Document	Date of Publication (or the filing date of the conflicting Application)
3-25-04-		US 3900372A	1975.08.19
iled +		US 5093432A	1992.03.03
	3		
	4		
. (As reconstruction As reconstruction As regular Clauser	e specification does not conform with the provide drafting of the specification does not conform elementing Regulations. Fair does not possess the novelty as stip does not possess the inventiveness w. In does not possess the practical application does not possess the practical application. In does not possess the practical application does not possess the practical application. In does not possess the practical application does not possess the practical application.	sion of Item 3, Article 26 of the Patent Law. with the provision of Rule 18 of the ulated in Item 2, Article 22 of the Patent Law. as stipulated in Item 3, Article 22 of the Patent cability as stipulated in Item 4, Article 22 of the f the Patent Law where no patent right is to be
	∠Z Ciai	im 1.3.7 does not conform with the provision	on of Item 4, Article 26 of the Patent Law

	Claim 13-14 does not conform with the provision of Item 1, Article 31 of the Patent Law. Claim does not conform with the provision of Item 1, Rule 13 of the Implementing Regulations of the Patent Law.
	Claim does not conform with the provision of Rule 18 of the Implementing Regulations of the Patent Law.
	Claim 14-710-12 does not conform with the provisions of Rules 20 to 23 of the Implementing Regulations of the Patent Law.
	Refer to the text of this Notice for the specific analyses of the conclusive opinion.
7.	Based on the above conclusive opinion, the Examiner deems that:
	The applicant shall amend the application documents in accordance with the requirements raised in the text of the Notice.
	The applicant shall discuss in his observations reasons why this application for patent can be granted a patent right, and amend the portions indicated in the text of the Notice which have been deemed as not conforming with the provisions, or no patent right shall be granted.
	There are no substantive contents in the application for patent that can be granted a patent right. If the applicant does not present reasons or the reasons presented are not sufficient, the application shall be rejected.
8.	The applicant is asked to note the following items:
	(1) According to the provision of Article 37 of the Patent Law, the applicant shall submit his observations within four months from the receipt of this Notice. Where, without justified reasons, the applicant does not respond at the expiration of said date, the application shall be deemed to have been withdrawn.
	(2) The applicant shall amend his application according to Article 33 of the Patent Law. The amended documents shall be in duplicate, and the form, in conformity with the relevant provisions in the Examination Guide.
	(3) The applicant and/or his agent can not, without first making an appointment, go to the Patent Office to have an interview with the Examiner.
	(4) The observations and/or the amended documents shall be mailed or delivered to the Department of Receipt, the Patent Office of the State Intellectual Property Office. No documents shall possess legal effects if not mailed or delivered to the Department of Receipt.
9.	The text of this Notice totals 2 page(s), and includes the following attachment(s):
	duplicate copy(ics) of cited comparison document(s), altogether 2 copy(ics) 15 pages.
Exam	mination Department: Examiner(Seal):
	PCT171

The text of the First Office Action

As disclosed in the description, the present application relates to a process for preparing a fluorinated acyl fluoride and a process for preparing a fluorinated vinyl ether from the acyl fluoride. On examination, the following remarks are provided:

1. Claims 13-14 are objected under Item 1, Article 31 of the Chinese Patent Law

Claim 13 defines a process for producing compounds having formula (10) from product (3) obtained by the process defined in claim 1, and claim 14 defines two specific compounds. These claims and the process of claim 1 do not belong to one general inventive concept, not having one and the same or corresponding special technical feature, unable to meet Item 1, Article 31 of the Chinese Patent Law. The applicant should delete claims 13 and 14, the deleted inventions can be filed as a divisional application.

- 2. Claims 1-12 are rejected under Item 3, Article 22 of the Chinese Patent Law as lacking an inventive step
- 2.1 Claim 1 defines a process for producing fluorinated acyl fluoride. However, reference 1, US 3,900,372, has disclosed a process for producing fluorinated acyl fluoride (columns 1-5 thereof) comprising the steps of reacting a primary alcohol with perfluorinated acyl fluoride to form a partially fluorinated ester; fluorinating same by ECF to transfer the partially fluorinated ester into perfluorinated one; and dissociating the product obtained to form a perfluorinated acyl fluoride. The differences between the technical solution defined in claim 1 and that disclosed in reference 1 are that there are ether linkages, -ORA and -ORB, in the partially fluorinated ester of claim 1, and the fluorination method of claim 1 is a liquid phase fluorination process rather than ECF. Reference 2, US 5,093,432A, has disclosed a process for fluorinating hydrocarbons by liquid phase fluorination process (See columns 2-4 and 7-8 thereof), and has clearly disclosed, from col.7, line 16 to col. 8, line 14, that the liquid fluorination process is particularly suitable for fluoirnating compounds having ether linkages, because the compounds having ether linkages are liable to be interfered by the by product, hydrogen fluoride, during the fluorination, resulting in the C-O linkage to be broken. This content provides a clear technical hint that the liquid fluorination process rather than ECF should be used if there are ether linkages in compound (1), which is a raw material to be fluorinated. Therefore, a skilled person can easily find the technical solution defined in claim 1 by combining references 1 and 2, and such combination does not bring about any unexpected result. Claim 1 thus neither has prominent substantial features nor represents any notable progress, unable to have an inventive step prescribed in Item 3.

Article 22 of the Chinese Patent Law.

In addition, all technical features defined in claims 2-4 have been disclosed in reference 1, these claims thus neither have prominent substantial features nor represent any notable progress, unable to have inventive steps prescribed in Item 3, Article 22 of the Chinese Patent Law.

2.2 The additional technical feature defined in claim 5 is the fluorine content of compound (1) being 30-70%. Although reference 1 does not clearly mention the fluorine content, the esterification in the process thereof forms the partially fluorinated ester by reacting a primary alcohol with perfluorinated acyl fluoride while the same process is used in producing the present compound having formula (1). That is, if R^A and R^B are saturated hydrocarbon groups, X^I, X² and X³ are hydrogen atoms, R^C and R^D are perfluorinated saturated hydrocarbon groups, and X⁴, X⁴ and X⁶ are fluorine atoms, the fluorine content in compound (1) should be more than 30% but less than 70%. In addition, defining the fluorine content in claim 5 fails bring about any unexpected results.

Claim 6 further defines the molecular weight of compound (1), such definition is conventional in the art, not bring about any unexpected results. Therefore, none of claims 5 and 6 can have prominent substantial features or represent notable progress, not having inventive steps prescribed in Item 3, Article 22 of the Chinese Patent Law.

- 2.3 Based on the same reasons, none of claims 7-12 can have prominent substantial features or represent notable progress, not having inventive steps prescribed in Item 3, Article 22 of the Chinese Patent Law.
- 3. Claims 1, 3 and 7 are objected under Item 4, Article 26 of the Chinese Patent Law

The definitions on groups in claims 1 and 7 are too wide. According to the working examples, R^A and R^B are saturated C_{1-10} hydrocarbon groups, or R^A and R^B can be bonded together to form a C_{2-6} alkylene optional substituted by C_{1-6} alkyl; X^1 , X^2 and X^3 are hydrogen atoms; R^C and R^D are perfluorinated saturated C_{1-10} hydrocarbon groups, or R^C and R^D can be bonded together to form a perfluorinated C_{2-6} alkylene optional substituted by perfluorinated C_{1-6} alkyl; and X^4 , X^5 and X^6 are fluorine atoms. The definitions in claim 1 are too wide to be supported by the description, unable to meet Item 4, Article 26 of the Chinese Patent Law. In addition, the "Y being a halogen atom" in claim 3 should be defined into "Y being a fluorine atom", this claim thus can not meet Item 4, Article 26 of the Chinese Patent Law, either.

- 4. Claims 1, 4-7 and 10-12 are objected under Item 1, Rule 20 of the Implementing Regulations of the Chinese Patent Law
- 4.1 The parentheses in claims 1, 7 and 12 result in the scopes of these claims unclear, unable to meet Item 1, Rule 20 of the Implementing Regulations of the Chinese Patent Law.
- 4.2 Claims 4-6 and 10-12 are multi-dependent claims, their referring to other multi-dependent claim results in the scopes thereof unclear, unable to meet Item 1, Rule 20 of the Implementing Regulations of the Chinese Patent Law.

Based on the above, the present application can not be allowed according to the present application documents. The applicant should provide reasons to manifest the inventiveness of this application while clearing away the deficiencies within four months stipulated. All the amendments should meet Article 33 of the Chinese Patent Law, not going beyond the scope originally disclosed in the claims and description.

When submitting the amended sheets, the applicant should provide a marked-up copy in which all the additions, deletions or substitutions are marked up by red pen, and a clean copy to substitute the original one. The applicant should ensure the contents thereof being consistent with each other.



中华人民共和国国家知识产权局

邮政编码: 200233

上海市桂平路 435 号 上海专利商标事务所 沙永生



申请号:

01813464.5

部门及通知书类型:

9--C

申请人:

旭硝子株式会社

发明名称:

含氣酰氣的制造方法和含氣乙烯醛的制造方法

第一次审查意见通知书

					(进入国家阶)	段的 PCT	申请)
1.	\boxtimes	依申谞人提出	的实审请求	根据专利法	第 35 条第 1 章	大的规定,	审查员对上述发明专利申请进行实质审查 。
		根拠专利法第	35条第2章	的规定,国	\$知识产权局#	定自行对	上述发明专利申请进行审查。
2.	\boxtimes	申请人要求以	其在:	•			
		JP	 专利	局的申请日	2000年7月	9 28 日	为优先权日。
		·	 专利	局的申请日			为优先权日,
			专利	局的申请日			为优先权日,
3.		申请人于	_年月	日投交(的修改文件,不	符合专利法	实施细则第51条的规定。
		申请人提交的	下列修改文件	中不符合专利	法第 33 条的规	定,因而	不能接受:
		□ 国际初步	审查报告附任	中的中文译文	•		
		□ 依据专利	合作条约第	19 条规定所数	是交的修改文件	的中文译:	文 .
		□ 依据专利	合作条约第二	28 条或 41 条	规定所提交的保	多改文件。	
		修改不能被接	受的具体理:	12见通知书正	文部分。		
4.	\boxtimes	审查是针对原	始提交的国际	示申请的中文	译文进行的。		
		审查是针对下	述申请文件。	生行的:			
		说明书	第页,	按照原始提	交的国际申请:	文件的中文	译文:
			第页.	按照国际初	步审查报告附付	牛的中文译	文:
			第页,	按照依据专	利合作条约第二	28 条或 41	条规定所提交的修改文件:
			第页.	按照依据专	利法实施细则多	第 51 规定的	所提交的修改文件。
		权利要求	第项,	按照原始提	交的国际申请为	文件的中文	译文:
			第项.	按照依据专	利合作条约第二	19 条规定原	所提交的修改文件的中文译文。
			第项,	技照国际初;	步审查报告附领	中的中文译	文:
			第项.	按照依据专	利合作条约第二	28 条或 41	条所提交的修改文件:
			第项,	技照依据专	利法女施细则第	B 51 条规5	E所投交的修改文件。
		附留	第页.	按照原始提	出的国际申请为	2件的中文	举文:
			第页,	按照国际初级	步审查报告附作	中的中文译	文:
							条所提交的修改文件: E所提交的修改文件。



中华人民共和国国家知识产权局

编号	文件号或名称	公 开 日 期 (或抵触申请的申请日)
1	US3900372A	1975.8.19
2	US5093432A	1992.3.3
3		
4		
. 审注	亚的结论性意见:	
	关于说明书:	
	申请的内容属于专利法第5条规定的不投予专利权的范围。	
	□ 说明书不符合专利法第 26 条第 3 欧的规定。	
	□ 说明书的撰写不符合专利法实施细则第 18 条的规定。	
\boxtimes	关于权利要求书:	
	□ 权利要求不具备专利法第 22 条第 2 款规定的新颖性。	
	☑ 权利要求 1-12 不具备专利法第 22 条第 3 款规定的创造性。	
_	□ 权利要求不具备专利法第 22 条第 4 款规定的实用性。 □ 权利要求 1、3、7 不符合专利法第 26 条第 4 款的规定。	
	○ 校刊安求 1.3、「小刊省专刊层第 20 获第 4 数的规定。 ▼ 权利要求 13-14 不符合专利法第 31 条第 1 款的规定。	
	□ 权利要求	P 중 .
	□ 权利要求	
	▼ 权利要求 1、4-7、10-12 不符合专利法实施细则第 20 条至第 23 条的规	定。
上述	这结论性意见的具体分析见本通知书的正文部分。	
~	于上述结论性意见,审查员认为:	
=	申请人应按照通知书正文部分提出的要求,对申请文件进行修改。	
	申请人应在意见陈述书中论述其专利申请可以被授予专利权的理由。并对通知进行修改,否则将不能授予专利权。	节止义部分中担因的不行合规定之
_	专利申请中没有可以被授予专利权的实质性内容,如果申请人没有陈述理由或领	6陈述理由不充分,其申请将被驳回
, 申ii	请人应注意下述事项:	
(1) 根据专利法第 37 条的规定,申诺人应在收到本通知书之日起的 <u>肆</u> 个月。 逾期不容复,其申请将被视为撤回。	内陈述意见,如果申请人无正当理
(2	 申请人对其申请的修改应符合专利法第33条的规定,修改文本应一式两份, 	其格式应符合审查指丽的有关规划
(3) 申请人的意见陈述书和/或修改文本应邮券或递交给中国专利局受理处。凡为 法律效力。	卡邮寄或递交给受理处的文件不具
	〉 未经预约,申请人和/或代理人不得前来中国专利局与审查员带行会晤。	
(4	5 day 40 mm 40 mm 10 day mm 10 mm 44 mm 10	
本证	图知书正文部分共有 <u>3 页</u> ,并附有下述附件: 3 引用的对比文件的复印件共 <u>2 份 15 页</u> 。	

1

申请号: 01813464.5

第一次审查意见通知书正文

如说明书所述,本申请涉及一种含氟酰氮的制造方法和含氯乙烯醚的制造方法。经审查,现提出如下审查意见。

- 1. 权利要求 13-14 不符合专利法第三十一条第一款的规定。 权利要求 13 是从权利要求 1 制备方法的产物 (3) 进一步反应的制备式 (10) 化合物的方法,权利要求 14 要求保护两个具体化合物,它们与权利要求 1 的制备方法之间不属于一个总的发明构思,不具有相同或相应的特定技术特征,不符合专利法第三十一条第一款的规定。申请人应将权利要求 13-14 删去或将其分案。
- 2. 权利要求 1-12 不符合专利法第二十二条第三款关于创造性的规定。
- 权利要求 1 要求保护含氟酰氟的制备方法,对比文件 1 (US3900372) (1) (参见说明书第 1-5 栏) 也公开了含氯酰氟的制备方法,首先,伯醇与全 氟化酰氟进行酯化反应得到部分氟化的酯,然后进行 ECF,使部分氟化的 酯转化为全氟化的酯,最后进行裂解得到全氟化酰氟,权利要求 1 的技术 方案与对比文件 1 的不同之处就在于部分氟化的酯式 (1) 中含有醚键-OR[^]、-OR⁸,采用的氟化方法不是用 ECF,而是用液相氟化法,对比文件 2(US5093432A) (参见说明书第 2-4 栏以及第 7-8 栏) 公开了液相氧化 法用于将碳氢化合物进行氟化,其中第 7 栏第 16 行-第 8 栏第 14 行明确 指出,将液相氟化法<u>尤其用于</u>含有醚键化合物的氟化中,因为含有醚键的 化合物在氟化的过程中容易受到副产物氣化氢的影响,发生 C-O 键的断 裂,这就存在着明确的技术启示,当氟化的原料式(1)中含有醚键时, 采用液相氟化法,而不用 ECF 法,因此本领域普通技术人员在对比文件 1 的基础上,结合对比文件 2 的技术启示,很容易得到权利要求 1 的技术方 案,而且,也不具有意想不到的效果,因此权利要求 1 不具有突出的实质 性特点和显著的进步,不符合专利法第二十二条第三款关于创造性的规 定。权利要求 2-4 的附加技术特征在对比文件 1 中都已经公开,因此不具 有突出的实质性特点和显著的进步,不符合专利法第二十二条第三款关于

创造性的规定。

- (2) 权利要求 5 的附加技术特征为式 (1) 的氣含量为 30%-70%,对比文件 1 中并没有明确的氣含量,但是在第一步酯化反应中,是由伯醇和全氟化 酰氟反应而得到的部分氟化的酯,而在本申请的技术方案中也是采取同样 的方法得到的式 (1) 化合物,即: R^、RB 为饱和烃, X¹、X²、X³ 为氢, R°、RB 为全氟代饱和烃, X⁴、X²、X² 为氟时,这时式 (1) 化合物中的氟 含量就是大于 30%小于 70%的,权利要求 5 具体指出氟含量的范围并不具有意想不到的效果,在权利要求 6 中,对于化合物 (1) 的分子量进行限 定,这种限定是本领域普通技术人员很容易得到的,也不具有意想不到的效果,因此权利要求 5-6 不具有突出的实质性特点和显著的进步,不符合专利法第二十二条第三款关于创造性的规定。
- (3) 基于与上述相同的理由,权利要求 7-12 不具有突出的实质性特点和显著的进步,不符合专利法第二十二条第三款关于创造性的规定。
- 4. 权利要求 1、4-7、10-12 不符合专利法实施细则第二十条第一款的规定。
- (1) 权利要求 1、7、12 存在不必要的小括号,导致权利要求的保护范围不清楚,不符合专利法实施细则第二十条第一款的规定。
- (2) 权利要求 4-6、10-12 属于多项从属权利要求引用了多项从属权利要求。 导致权利要求的保护范围不清楚,不符合专利法实施细则第二十条第一款 的规定。

申请号: 01813464.5

第一次审查意见通知书正文

3

基于上述理由,本专利申请目前的文本是不能被授权的,申请人应在本审查 意见通知书指定的单个月期限内提出其具有创造性的理由,同时克服上述缺陷。申请人在提交修改文本时应满足专利法第三十三条的规定,不得超出原权利要 求书和说明书的记载范围。

申请人在提交修改文本时应当提交:第一,修改涉及的那一部分原文的复印件,采用标记在该复印件上注出所作的增加、删除或替换:第二,重新打印的替换页,用于替换相应的原文。申请人应当确保上述两部分在内容上的一致性。